

Salient Features of the Law of Securities in Vietnam with Specific Reference to Securities Offerings in the Vietnamese Capital Market

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Abstract

Law of Securities 2006 in Vietnam, as amended in 2010, provides for activities of securities offering, listing, trading and investment, securities services and securities market. Accordingly activities of securities offering, public offer or private offer, listing, trading and investment, securities services and securities market are conducted subject to this law. An effort has been made in this paper to present the substantive provisions of Law of Securities related to securities offerings in the Vietnamese Capital Market. It is hoped that it will serve its stated purpose of facilitating those outside Vietnam (particularly Indians) who are interested in establishing a company in Vietnam and offer its securities to the public there or those who are interested in investing in the securities offerings of public companies of Vietnam.

Key Words : Capital Market, HOSE, HNX, Law of Securities, Securities Offerings, State Securities Commission.

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